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Avery N. Goldstein, Ph.D.	(Depositor's name)
/Avery N. Goldstein, Ph.D./	(Signature)
	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10520377	January 3, 2005	Eric J. Sorscher	UAB-20402/22	2638

TITLE OF INVENTION: COMPOUNDS PROMOTING DELIVERY OF GENES

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
Patent	yes	\$755.00	\$300.00	\$1,055.00	November 28, 2008

EXAMINER	ART UNIT	CLASS-SUBCLASS
J. S. Ketter	1636	

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
☐ "Fee Address" indication (or "Fee Address" indication form PTO/SB/47; Rev 03-02 or more recently) attached.
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2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

Gifford, Krass, Sprinkle, Anderson & Citkowski, P.C.

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

The UAB Research Foundation

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Birmingham, Alabama

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5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature /Avery N. Goldstein, Ph.D./

Date November 26, 2008



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/Avery N. Goldstein, Ph.D./	(Signature)
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J. S. Keller	1636	

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Authorized Signature /Avery N. Goldstein, Ph.D./ Date November 26, 2008